



**State of Utah**

GARY R. HERBERT  
*Governor*

GREG BELL  
*Lieutenant Governor*

**Utah Labor Commission**  
SHERRIE HAYASHI  
*Commissioner*

**Division of Industrial Accidents**  
RONALD DRESSLER  
*Director*

**BULLETIN: 1 - 2013**

**To: Workers' Compensation Insurance Carriers**

**From: Ronald Dressler, Director Industrial Accidents Division**

**Date: September 25, 2013**

**Re: Proof of Coverage Reporting Requirements and Penalties**

As announced 8/24/12, the Industrial Accidents Division intended to transition from the IAIABC POC 2.1 to the POC 3.0 standard on 9/1/13. Per our 7/16/13 notice, this implementation date was postponed to November 6, 2013.

Although carriers will continue to report workers' compensation policy information to their data collectors using the WCPOLS format, they should ensure comprehension of the Utah data reporting requirements by reviewing the POC 3.0 tables posted on our website: [http://laborcommission.utah.gov/divisions/IndustrialAccidents/policy\\_reporting.html](http://laborcommission.utah.gov/divisions/IndustrialAccidents/policy_reporting.html)

In Bulletin 8-2010 the Industrial Accidents Division outlined the procedures used for assessing the penalties resulting from noncompliance with §34A-2-205. In Bulletin 5-2011 the Industrial Accidents Division provided a list of fineable transactions. As there are some new transactions in POC 3.0 that will be fineable, following is an updated list of all fineable transactions effective 11/6/13. Those highlighted in green are new report types available in POC 3.0.

***Please forward any questions or comments to:***

**STATE OF UTAH LABOR COMMISSION  
DIVISION OF INDUSTRIAL ACCIDENTS**

**Tonya Gallegos, Deputy Director  
(801) 530-6249**

**Or**

**Ronald Dressler, Director  
(801) 530-6841  
160 East 300 South, 3<sup>rd</sup> Floor  
P.O. Box 146610  
Salt Lake City, UT 84114-6610**

Triplicate Code			Event Type
Transaction Set Purpose	Transaction Set Type	Transaction Reason	Description of Transaction Type and Transaction Reason Code
00	05	01	POC Notice – Employer Locations within Jurisdiction
00	05	80	POC Notice – Insured has No Physical Location within Jurisdiction
00	05	86	POC Notice – Employers with No Physical Address, but Operating within the Jurisdiction
00	05	01	POC Notice for Renewal - Employer Locations within Jurisdiction
00	05	80	POC Notice for Renewal - Insured has No Physical Location within Jurisdiction
00	05	86	POC Notice for Renewal -Employers with No Physical Address, but Operating within the Jurisdiction
00	10	01	New Policy – Employer Locations within Jurisdiction
00	10	80	New Policy – Insured has No Physical Location within Jurisdiction
00	10	86	New Policy – Employers with No Physical Address, but Operating within the Jurisdiction
00	20	01	Renewal – Employer Locations within Jurisdiction
00	20	80	Renewal – Insured has No Physical Location within Jurisdiction
00	20	86	Renewal – Employers with No Physical Address, but Operating within the Jurisdiction
00	31	72	Endorsement - Adding a New Jurisdiction to Existing Policy with Employer Locations within Jurisdiction
00	31	80	Endorsement - Adding a New Jurisdiction to Existing Policy without location(s) within the Jurisdiction
00	31	86	Add New Employer – Adding a New Jurisdiction to Existing Policy with No Physical Location within the Jurisdiction but Operating within the Jurisdiction
00	50	01	Rewrite/Reissue – Employer Locations within Jurisdiction
00	50	80	Rewrite/Reissue – Insured has No Physical Location within Jurisdiction
00	50	86	Rewrite/Reissue – Employers with No Physical Address, but Operating within the Jurisdiction
00	31	54	Add New Employer – Add (Location in Jurisdiction)
00	31	87	Add Employer – Add (Insured and Employer not in Jurisdiction)
04	33	83	Change Carrier/Insurer FEIN
05	32	76	Change Insured FEIN
05	32	79	Change Policy Number
05	32	81	Change Policy Effective Date
05	32	82	Change Policy Expiration Date
05	32	84	Change Insured Demographics
05	32	77	Change Employer FEIN - Employer Locations within Jurisdiction

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05	32	96	Change Employer FEIN – Employer with No Jurisdiction Location
00	33	73	Delete Jurisdiction No Longer Covered by Carrier/Insurer
00	33	56	Delete Employer Locations within Jurisdiction
00	33	87	Delete Employer with No Jurisdiction Location
00	41	16	Policy Cancelled by Carrier/Insurer - Failure to Comply with Terms of Audit
00	41	20	Policy Cancelled by Carrier/Insurer - Cancelled at Request of Finance Company
00	41	23	Policy Cancelled by Carrier/Insurer - Failure to Submit Payroll Information
00	41	59	Policy Cancelled by Carrier/Insurer - Non-Payment
00	41	64	Policy Cancelled by Carrier/Insurer - Underwriting Reason
00	41	66	Policy Cancelled by Carrier/Insurer - Revocation of Voluntary Market Acceptance
00	41	69	Policy Cancelled by Carrier/Insurer - Failure to Pay Deductible
00	41	70	Policy Cancelled by Carrier/Insurer - Misrepresentation on Application
00	41	71	Policy Cancelled by Carrier/Insurer - Rewrite/Reissue
00	42	01	Policy Cancellation by Insured – Reason Unknown
00	42	45	Policy Cancellation by Insured – Out of Business
00	42	60	Policy Cancellation by Insured - Coverage Placed Elsewhere
00	42	61	Policy Cancellation by Insured – Duplicate Coverage
00	42	62	Policy Cancellation by Insured – Change of Ownership
00	42	65	Policy Cancellation by Insured - No Employees/No Exposure/No Operations
00	70	01	Carrier/Insurer Reinstates Policy
00	60	64	Policy Non-Renewed by Carrier/Insurer – Underwriter discretion
00	60	59	Policy Non-Renewed by insurer - Non payment
00	60	01	Policy Non-Renewed by insured - Reason Unknown
00	60	45	Policy Non-Renewed by insured - Out of Business
00	60	60	Policy Non-Renewed by insured - Coverage Placed Elsewhere
00	60	62	Policy Non-Renewed by insured - Change of Ownership
00	60	65	Policy Non-Renewed by insured - No Employees/No Exposure/No Operations